Attorney Docket: 029065.48666C1

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

MARC G. ACHEN ET AL.

Serial No.:

not yet assigned

Filed:

July 28, 2003

Title:

METHODS FOR TREATING NEOPLASTIC DISEASE

CHARACTERIZED BY VASULAR ENDOTHELIAL GROWTH

FACTOR D EXPRESION FOR SCREENING FOR

NEOPLASTIC...

(Continuation under 37 CFR 1.53(d) of prior application Serial No. 09/956,095)

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

Copies of Forms PTO-1449 and PTO-892 are also attached, listing these references as already considered in parent application Serial No. 09/956,095.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

July 28, 2003

D. Evans gistration No. 26,269

CROWELL & MORING, LLP P.O. Box 14300

Washington, DC 20044-4300 Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

JDE:dcb

FORM PTO-1449	U.S. Department of Commerce Patent & Trademark Office	Attorney Docket No. 029065.48666C1	Serial No. not yet assigned
		Applicant: Marc G.	ACHEN, et al.
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Filing Date July 28, 2003	Group

			U.S. 1	PATENT D	OCUMENTS		<del>                                     </del>				
Examiner Initial		Document Number	Date		Name	Class	Sub- Class	Fili '(if ap	ing D propi		)
	AA	US 6,235,713	05/22/01	ACHEN e	t al.						
	<u>.                                    </u>		FOREIG	N PATEN	r documents						
D		Document					Sub-	TRAN	JSLA	<u> 101T</u>	N
Examiner Initial		Number	Date		Country	Class	Class	Yes		No	<u> </u>
	AB	0935001	08/1999	Europe_							
	AC	WO98/07832	02/1998	PCT			<del>   </del>				
	AD	WO 99/33485	07/1999	PCT			-				
	AE	WO 00/37025	6/29/00	PCT							
	AF	WO 00/77190	12/21/00	PCT						<u>_</u>	
		OTHER DOCU	MENTS (Incl	uding Auth	or, Title, Date, F	Pertinent Pag	es, etc.)			<del></del>	1 -
	AG	Filip FARNEBO, Mouse: High Expr Communications,	ression in the E	Embryonic Lu	on Pattern of <i>vegf-c</i> ung" Biochemical a 999)	d in the Adult and Bio physica	and Fetal l Researc	h			
	АН	Marc G. ACHEN, the gyrosine kina Acad. Of Science,	ses VEGF recep	ptor 2 (Flk1)	l growth factor D ( and VEGF recepto uary 1998	(VEGF-D) is a lor 3 (Flt4)" Prod	igand for c. Nationa	ı1			
	AI	Copy of European	Search Report	dated April	7, 2003					4	_
-	AJ	Copy of Interna	tional Search	Report date	ed June 5, 2001	<u> </u>				_	_
	AK	Achen, Marc G.	et al., "Locali noma Sugges	zation of Va ts a Role in	ascular Endothel Tumour Angiog	ial Growth Fa	actor-D i	n			
	AL	Members are D	ifferentially R	legulated by	Endothelial Gro y c-erb Signaling Experimental M	in Head and	Neck	es			
	AM	Stacker, Steve Cells via the L (2001).	n A et al., "Vl ymphatics," <i>N</i>	EGF-D Proi Vature Medi	motes the Metas icine, Vol. 7, No.	tatic Spread c 2, pages 186-	of Tumor 191				
	AN	Achen et al., Proc	. Nat. Acad. Sc	i USA 95:548	8-553, January 199	98			$\square$	$\dashv$	+
	AO	Valtola et al., Am	erican J. of Pat	thology 154(	5): 1381-1390, May	1999	<u> </u>		$\perp$	$\dashv$	_
	AP	Tsurusaki et al.,	Br. J. Cancer 8	0(1-2): 309-1	3, April 1999					$\dashv$	-
	AQ	Salven et al., Am	. J. Pathol 153(	(1): 103-8, Ju	ly 1998.				$\sqcup$	_	$\perp$
	AR	Stryer et al., 198 York, Pages 31-3		, Third Edit	ion, W.H. Freemar	and Company	, New			$\dashv$	
	AS	Kuby et al., 1994	, Immunology,	Second Editi	on, Pages 85-96.				$\vdash$	$\dashv$	_
	AT	Abaza et al., J. of	Protein Chem	istry 11(5): 4	33-444, 1992.					$\perp$	
EXAMI	NER			D	ATE CONSIDE	RED					
EXAMINE	R: Ini	tial if citation considered considered. Include cop	, whether or not cit y of this form with	ation is in confo next communica	rmance with MPEP 609 ition.	; Draw line throug	h citation if	not in co	nforn	ance	e and

U.S. Serial No.: Attorney Docket No. FORM PTO-1449 U.S. Department of Commerce 1064/48666CP Patent & Trademark Office 09/956.095 Applicant: MARC G. ACHEN ET AL. INFORMATION DISCLOSURE MAR 2 6 2002 Group Filing Date STATEMENT 1644 (Use several sheets if necessary) eptember 20, 2001 PATENT DOCUMENTS Filing Date Sub-Document Examiner Class Class (if appropriate) Date Name Initial Number PNH 6,235,71**23** 514 AA 5/22/01 Achen et al. ABACAD AE MAR  $\mathbf{AF}$ AG AH ΑI AJFOREIGN PATENT DOCUMENTS TRANSLATION Sub-Document Examiner Class Class Yes No Date Name Initial Number  $\mathbf{X}$ 6/29/00 PCT AK WO 00/37025 X PCT WO 00/77190 12/21/00 ALAMAN ΑO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) PNH APInternational Search Report dated June 5, 2001 Achen, Marc G. et al., "Localization of Vascular Endothelial Growth Factor-D in Malignant AQMelanoma Suggests a Role in Tumour Angiogenesis," Journal of Pathology, Vol. 193, pages 147-154 (2001). O-charoenrat, Pornchai et al., "Vascular Endothelial Growth Factor Family Members are ARDifferentially Regulated by c-erb Signaling in Head and Neck Squamous Carcinoma Cells," Clinical & Experimental Metastasis, Vol. 18, pages 155-161 (2000). Stacker, Steven A et al., "VEGF-D Promotes the Metastatic Spread of Tumor Cells via the AS Lymphatics," Nature Medicine, Vol. 7, No. 2, pages 186-191 (2001). DATE CONSIDERED 02 **EXAMINER** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication. EXAMINER:

Attorney Docket No. 29065.48666CP

Serial No. 09/956,095

Applicant: Marc G. ACHEN, et al.

Filing Date September 20, 2001

Group

			U.S.	PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)
	AA			<del> </del>		1 1	
	AB		ļ				
	AC						
	AD						
	AE						
	AF						
	AG		<u> </u>			ļ	····
	AH						
	AI						
	AJ	<u> </u>	<u> </u>				
			FOREI	GN PATENT DOCUMEN'	rs		<del></del>
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	TRANSLATION Yes No
	AK	0935001	08/1999	Europe			
	AL	98/07832	02/1998	wo			
	АМ	99/33485	07/1999	wo			
	AN						
	AO						
	AP						
		OTHER DOC	UMENTS (Inc	cluding Author, Title, Date	, Pertinent Pag	ges, etc.)	
	AQ	Mouse: High Ex	pression in the	eted Expression Pattern of <i>veg</i> Embryonic Lung" Biochemica es 891-894 (1999)			
	AR	the gyrosine kin	ases VEGF rece	lar endothelial growth factor eptor 2 (Flk1) and VEGF rece 5 548-553, January 1998			
	AS	Search Report					

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

EXAMINER:

# Notice of References Cited

Application/Control No.

09/956,095

Examiner

"Neon" Phuong Huynh

Applicant(s)/Patent Reexamination
ACHEN ET AL.

Page 1 of 2

# U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	۵	US-			
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	_	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	WO 99/33485	07-1999	WIPO	Achen et al	
	0					
	Ρ					
	σ					
	R					
	s	•				
	T					

### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Achen et al, Proc. Nat. Acad. Sci USA 95: 548-553, January 1998.
	<b>v</b>	Valtola et al, American J of Pathology 154(5): 1381-1390, May 1999.
	8	Tsurusaki et al, Br J Cancer 80(1-2):309-13, April 1999.
	х	Salven et al, Am J Pathol 153(1):103-8, July 1998.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# Application/Control No. 09/956,095 Examiner "Neon" Phuong Huynh Applicant(s)/Patent engage Reexamination ACHEN ET AL. Art Unit Page 2 of 2

# Notice of References Cited

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	-	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

# FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	s					
	T					

### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Stryer et al, 1988, in Biochemistry, Third Edition, W. H. Freeman and Company/New York, pages 31-33.
	V	Kuby et al., 1994, Immunology, second edition, pages 85-96.
	w	Abaza et al, J of Protein Chemistry 11(5): 433-444, 1992.
	х	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.